

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Shrenik Deliwala et al. : Attorney Docket No.: 053168-5003-02
Serial No.: 09/991,371 :
Filed: November 10, 2001 :
For: ANISOTROPIC ETCHING OF OPTICAL
COMPONENTS :
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INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicant cites the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. In compliance with 37 C.F.R. § 1.98(d), copies of the patents and publications set forth below and listed on the attached Form PTO-1449 (4 sheets), have not been provided because they were cited in parent application no. 09/859,693.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name Daniel H. Golub

Signature

Date of Deposit February 7, 2002

Patent No.	Patentee	Issue Date
6,177,685 B1	Teraguchi et al.	January, 2001
6,188,818 B1	Han et al.	February, 2001
6,166,846	Maloney	December, 2000
6,075,908	Paniccia et al.	June, 2000
6,122,419	Kurokawa	September, 2000
5,864,642	Chun et al.	January, 1999
5,872,360	Paniccia et al.	February, 1999
5,835,646	Yishimura et al.	November, 1998
5,696,862	Hauer et al	December, 1997
4,677,783	Cratsa	July, 1987
5,638,469	Feldman et al.	June, 1997
5,629,838	Knight et al.	May, 1997
5,625,729	Brown	April, 1997
5,625,636	Bryan et al.	April, 1997
5,605,856	Goosen et al.	February, 1997
5,568,574	Tanguay, Jr. et al.	October, 1996
5,502,779	Magel	March, 1996
5,485,021	Abe	January, 1996
5,434,434	Kasahara et al.	July, 1995
5,432,630	Lebby et al.	July, 1995
5,400,419	Heinen	March, 1995
5,198,684	Sudo	March, 1993
5,159,700	Reid, deceased et al.	October, 1992
5,153,770	Harris	October, 1992
5,061,027	Richard	October, 1991
4,966,430	Weidel	October, 1990
4,939,793	Stewart	July, 1990
4,917,450	Pocholle	April, 1990
4,871,224	Karstensen	October, 1989
4,865,427	Kingston et al.	September, 1989
4,761,620	Bar-Joseph et al.	August, 1988
4,758,092	Heinrich et al.	July, 1988
4,695,120	Holder	September, 1987
4,422,088	Gfeller	December, 1983

Other References

Michael C. Parker et al., Applications of Active Arrayed-Waveguide Gratings in Dynamic WDM Networking and Routing, Journal of Lightwave Technology, Vol. 18, No. 12, December 2000.

Bardia Pezeshki et al., Vertical Cavity Devices as Wavelength Selective Waveguides, Journal of Lightwave Technology, Vol. 12, No. 10, October 1994.

Lucent Technologies, Bell Labs Innovations, Arrayed Waveguide Grating Multiplexer/Demultiplexer, January 2000.

Robert Shi and Tomasz Jannson, Optical Interconnection Foundations and Applications, Chapter 5, Integrated Optical Waveguide Routing—Micro-optics, Artech House, pp. 141-225.

Amalia N. Miliou et al., A 1.3- μ m Directional Coupler Polarization Splitter by Ion Exchange, Journal of Lightwave Technology, Vol. 11, No. 2, February 1993.

Gijs J. M. Krijnen et al., A New Method for the Calculation of Propagation constants and Field Profiles of Guided Modes of Nonlinear Channel Waveguides Based on the Effective Index Method, Journal of Lightwave Technology, Vol. 12, No. 9, September 1994.

Further, in compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Form PTO/SB/08A (1 sheet), have been provided because they have not yet been cited.

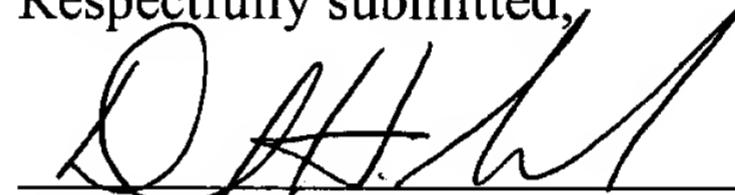
Patent Appl. Publication No.	Patentee	Publication Date
US 2001/0031112 A1	Frish et al.	October 18, 2001
US 2001/0031113 A1	Frish et al.	October 18, 2001

Applicant respectfully requests that all of these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Forms PTO-1449 and PTO/SB/08A with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Respectfully submitted,



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